

PATENT  
Attorney Docket No. 3414

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Matsuzaki et al.

Serial No: 09/916,135

Filing Date: 7/25/2001

Title: **COMPLEXITY MANAGEMENT  
OF GENOMIC DNA**

Commissioner for Patents  
Washington, D.C. 20231

Examiner:

Group Art Unit:

INFORMATION DISCLOSURE  
STATEMENT

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Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of the documents are enclosed.

Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Dated: December 6, 2001

Respectfully submitted,

Philip L. McGarrigle  
Reg. No. 31,395

Legal Department  
Affymetrix, Inc.  
3380 Central Expressway  
Santa Clara, CA 95051  
Tel: 408/731-5021 Fax: 408/731-5392

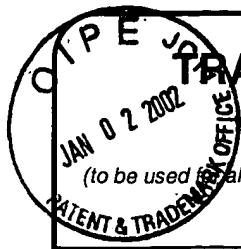
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/916,135

Filing Date 07/25/2001

First Name and Inventor Matsuzaki et al.

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

Attorney Docket Number 3414

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please identify below):

**Modified 1449  
Copies of Documents**

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Philip L. McGarrigle, Reg. No. 31,395

Signature

Date

December 6, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

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|--|-----------------------------|------------------------------|
| PTO-1449 (Modified)<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br> | ATTY. DOCKET NO.: 3414      | SERIAL NUMBER:<br>09/916,135 |
|  | APPLICANT: Matsuzaki et al. |                              |
|  | FILING DATE:<br>7/25/2001   | GROUP ART UNIT:              |

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE     | NAME              | CLASS | SUB<br>CLASS | FILING<br>DATE |
|---------------------|--------------------|----------|-------------------|-------|--------------|----------------|
|                     | 4,683,202          | 7/28/87  | Mullis            |       |              | 10/25/85       |
|                     | 4,683,195          | 7/28/87  | Mullis et al.     |       |              | 2/7/86         |
|                     | 4,800,159          | 1/24/89  | Mullis et al.     |       |              | 12/17/86       |
|                     | 5,487,985          | 1/30/96  | McClelland et al. |       |              | 10/9/92        |
|                     | 5,858,656          | 1/12/99  | Deugau, et al     |       |              | 6/7/95         |
|                     | 6,004,783          | 12/21/99 | Ausubel et al.    |       |              | 9/18/96        |
|                     | 5,436,142          | 7/25/95  | Wigler et al.     |       |              | 11/12/92       |
|                     | 5,501,964          | 3/26/96  | Wigler et al.     |       |              | 11/9/93        |
|                     | 5,876,929          | 3/2/99   | Wigler et al.     |       |              | 6/7/95         |
|                     | 6,287,825          | 9/11/01  | Weissman et al.   |       |              | 9/17/99        |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE   | COUNTRY | CLASS | SUB<br>CLASS | TRANSLA<br>TION<br>YES/NO |
|---------------------|--------------------|--------|---------|-------|--------------|---------------------------|
|                     | WO99/43853         | 9/2/99 | PCT     |       |              |                           |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|-----------------|
| EXAMINER   | DATE CONSIDERED |
| EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant. |                 |